JC10 Rec'd PCT/PTO 1-4-1300KL 078085 Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

84637.010500-US-F1

CONCERNING A SUBMISSI	U.S. APPLICATION NO (II thrown spector CFR 1.5)									
INTERNATIONAL APPLICATION NO. PCT/EP2004/000157	INTERNATIONAL FILING DATE 13 January 2004	PRIORITY DATE CLAIMED 15 January 2003								
TITLE OF INVENTION SYSTEM AND METHOD FOR OPTIMIZATION	N OF A DATABASE FOR THE TRAINING AN	ND TESTING OF PREDICTION ALGORITHMS								
APPLICANT(S) FOR DO/EO/US Massimo BUSCEMA										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. This is a FIRST submission of items of	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUENT	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. The US has been elected (Article 31).										
5. A copy of the International Application	on as filed (35 U.S.C. 371(c)(2))									
a. is attached hereto (require	a.  is attached hereto (required only if not communicated by the International Bureau).									
b. has been communicated b	by the International Bureau.									
c. is not required, as the app	lication was filed in the United States Receivi	ing Office (RO/US).								
6. An English language translation of t	he International Application as filed (35 U.S.C	C. 371(c)(2)).								
a. is attached hereto.		·								
b. has been previously subm	nitted under 35 U.S.C. 154(d)(4).									
7. Amendments to the claims of the Inf	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a are attached hereto (requ	uired only if not communicated by the Internat	tional Bureau).								
b. have been communicate	b. have been communicated by the International Bureau.									
c. have not been made; how	wever, the time limit for making such amendr	nents has NOT expired.								
d. have not been made and	d. have not been made and will not be made.									
8 An English language translation of	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. An oath or declaration of the invento	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)									
An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	he annexes of the International Preliminary E	xamination Report under PCT								
items 11 to 20 below concern document(	s) or information included:									
11. An Information Disclosure Statemen	t under 37 CFR 1.97 and 1.98.									
12. An assignment document for record	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.									
13. A preliminary amendment.	A preliminary amendment.									
14. An Application Data Sheet under 37	CFR 1.76.									
15. A substitute specification.	A substitute specification.									
16. A power of attorney and/or change of	of address letter.									
17. A computer-readable form of the sec	quence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.								
18. A second copy of the published Inter	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19 A second copy of the English langua	age translation of the international application	under 35 U.S.C. 154(d)(4).								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering Information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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PTC-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICA	S. APPLICATION NO. (I KNOW 2 CO BY STR 1.5)  INTERNATIONAL APPLICATION NO. PCT/EP2004/000157		ATTORNEY'S DOCKET NUMBER 84637.010500-US-F1			
20. Other	items or informati	on:				- "
	lowing fees have b	CALCULATIONS	PTO USE ONLY			
21. / Bas	ic national fee (37	\$ 300	•			
22. 🕢 Exar	nination fee (37 Cl	FR 1.492(c))			200	
by IPEA	nion prepared by IS /US indicates all cl ns	\$				
23. <b>√</b> Sea	rch fee (37 CFR 1	492(b))	nal preliminary examination rep	-	500	
IPEA/US	indicates all claim	is satisfy provision	ons of PCT Article 33(1)-(4) the international application to t	\$0	\$	
Internation	onal Searching Au	thority	er than the US and provided to	\$100		
previously	y communicated to	the US by the I	B	\$400		
All other situation				5500		
		and drawings file	ed in paper over 100 sheets (ex			
electroni	c medium) (37 CF	R 1.492(j)).	R 1.821(c) or (e) or computer pro	ogram listing in an		
	s <b>\$250</b> for each ad	ditional 50 shee	s of paper or fraction thereof.	<del></del>		
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE		·
- 100 =	/50 =			× \$250	\$	I .
Surcharge of \$13 after the date of c	30.00 for furnishing commencement of	\$				
CLAIMS	CLAIMS NUMBER FILED		NUMBER EXTRA	RATE	\$	
Total claims	Total claims 34		14	× \$ 50	\$ 700	
Independent claims 2 - 3 =		0	x \$200	\$ 0		
MULTIPLE DEPE	ENDENT CLAIM(S	) (if applicable)	0	+ \$360	\$	
Applicant cla	ims small entity st	atus See 37 CE	TOTAL OF ABOVE ( R 1.27. Fees above are reduce		\$	
фризансов	iii 110 Siliali Cilaty St	atas. Occ 57 Of	1. 1.27. Tees above are reduce		\$	
SUBTOTAL =  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest					<u> </u>	
claimed priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE =					\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$ 1700	
_					Amount to be refunded:	\$
					Amount to be charged	\$

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. A check in the amount of \$ \_ to cover the above fees is enclosed. Please charge my Deposit Account No. <u>501561</u>
A duplicate copy of this sheet is enclosed. in the amount of \$ 1700 \_\_\_\_ to cover the above fees. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501561 ... A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d. be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Dated: July 14, 2005 Gerard F. Diebner NAME CORRESPONDENCE: 31,345 Gerard F. Diebner, Esq. REGISTRATION NUMBER GREENBERG TRAURIG, LLP Met Life Building 200 Park Avenue New York, New York 10166 Phone: 212-801-2134 Fax: 212-801-6400

# JC20 Rec'd PCT/PTO 1 4 JUL 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** 

Massimo BUSCEMA

SERIAL NO.

To Be Assigned

**FILED** 

To Be Assigned

ATTY. DOCKET

84637.010500/US-F1

TITLE

SYSTEM AND METHOD FOR OPTIMIZATION OF A

DATABASE FOR THE TRAINING AND TESTING OF

PREDICTION ALGORITHMS

### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No. EV 569744231 US

Date of Deposit:

July 14, 2002

I hereby certify that the following attached paper(s) and/or fee

- (1) Transmittal Letter DO/EO/US Form PTO-1390 with Fee Calculation authorizing deduction from deposit account 501561 of \$1700,
- (2) Copy of Published PCT/EP2004/000157 (59 pgs spec; 12 pg cls; 8 figs)
- (3) Unexecuted Oath/Declaration;
- (4) Preliminary Amendment (15 pgs);
- (5) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

GREENBERG TRAURIG, LLP

Dated: July 14, 2005

Randi Flamenbaum

Legal Assistant

CORRESPONDENCE:

Gerard F. Diebner, Esq.

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